Macro processors and their use in implementing software

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Table of Contents

Preface					
O,	verall	Introduction 2			
	Sum	mary 2			
		$\stackrel{\circ}{\operatorname{nowledgements}}$			
		inality			
Pa	art I —	- A Survey of Macro Processors 4			
1	Intro	$\operatorname{oduction} \ldots \ldots 5$			
	1.1	What is a Macro Processor? 5			
	1.2	Why "Macro Processor" rather than "Macro Language"? 5			
	1.3	Uses of Macro Processors			
	1.4	Organisation of this Discussion 6			
	1.5	Language Extension 6			
	1.6	Language Translation 7			
	1.7	Text Generation			
	1.8	Systematic Editing and Searching			
	1.9	Introduction to the Design of a Macro Processor 8			
2	Base	Language			
	2.1	Syntactic Macros9			
	2.2	Computation Macros			
	2.3	Difficulties in Categorising Compiler-Dependent Macros 11			
	2.4	Advantages of Base Language Dependence			
3	Synt	ax			
	3.1	Syntax of Macro Calls			
	3.2	Recognition of Macro Calls			
	3.3	Recognition of Start First			
	3.4	Macro Identification First			
	3.5	End First			
	3.6	Separation of Arguments			
	3.7	XPOP Syntax			
	3.8	LIMP Syntax			
	3.9	ML/I Syntax			
	3.10	Notation for Formal Parameters			
	3.11	Syntax of Macro-Time Statements			
	3.12	Comparison of Syntaxes			
	3.13	Notation-Independence			
	3.14	Context-Dependent Replacement			

	9.15	TI CNI I'	00
	3.15	Use of Newline	
	$3.16 \\ 3.17$	Notational Restrictions	
	3.11	Effors and Effor Recovery	∠4
4	\mathbf{Text}	Evaluation	25
	4.1	Name or Value	25
	4.2	Multi-Level Calls	
	4.3	Conclusions on Multi-Level Calls	28
	4.4	Output	28
	4.5	The Scope of Macro Definitions	29
	4.6	Definitions with Restricted Scope	30
	4.7	Skips	31
5	Macı	ro-Time Facilities	32
	5.1	Macro-Time Variables	32
	5.2	Character Variables	
	5.3	Integer Variables	
	5.4	Boolean Variables	
	5.5	Further Facilities	33
	5.6	Macro-Time Statements	33
	5.7	Arithmetic and Control Statements	34
	5.8	Further Statements	
	5.9	Macro-Time Dictionaries	35
6	Impl	ementation Methods	37
6	$\mathbf{Impl}_{6.1}$	ementation Methods	
6	-		37
6	6.1	Multi-Pass Macro Processors	37 37
6	6.1 6.2 6.3 6.4	Multi-Pass Macro Processors	37 37 38 39
6	6.1 6.2 6.3	Multi-Pass Macro Processors Pre-Compiling of Macro-Time Statements List Processing Techniques	37 37 38 39
6 7	6.1 6.2 6.3 6.4 6.5	Multi-Pass Macro Processors	37 37 38 39 39
67	6.1 6.2 6.3 6.4 6.5	Multi-Pass Macro Processors Pre-Compiling of Macro-Time Statements List Processing Techniques Further Considerations of Speed Further Storage Considerations	37 37 38 39 39
7	6.1 6.2 6.3 6.4 6.5	Multi-Pass Macro Processors	37 37 38 39 39 41
7	6.1 6.2 6.3 6.4 6.5 Conc	Multi-Pass Macro Processors Pre-Compiling of Macro-Time Statements List Processing Techniques Further Considerations of Speed Further Storage Considerations Clusions Conclusions on Language Extension	37 38 39 39 41 41 43
7	6.1 6.2 6.3 6.4 6.5 Conc 7.1 7.2	Multi-Pass Macro Processors Pre-Compiling of Macro-Time Statements List Processing Techniques Further Considerations of Speed Further Storage Considerations Clusions Conclusions on Language Extension Conclusions on Language Translation	37 38 39 39 41 41 43 44
7	6.1 6.2 6.3 6.4 6.5 Conc 7.1 7.2 7.3	Multi-Pass Macro Processors Pre-Compiling of Macro-Time Statements List Processing Techniques Further Considerations of Speed Further Storage Considerations Clusions Conclusions on Language Extension Conclusions on Language Translation Conclusions on Text Generation	37 38 39 39 41 41 43 44 45
7	6.1 6.2 6.3 6.4 6.5 Conc 7.1 7.2 7.3 7.4 7.5	Multi-Pass Macro Processors Pre-Compiling of Macro-Time Statements List Processing Techniques Further Considerations of Speed Further Storage Considerations Clusions Conclusions on Language Extension Conclusions on Language Translation Conclusions on Text Generation Conclusions on Editing and Searching	37 37 38 39 39 41 41 43 44 45 45
7 Pa	6.1 6.2 6.3 6.4 6.5 Conc 7.1 7.2 7.3 7.4 7.5	Multi-Pass Macro Processors Pre-Compiling of Macro-Time Statements List Processing Techniques Further Considerations of Speed Further Storage Considerations Clusions Conclusions on Language Extension Conclusions on Language Translation Conclusions on Text Generation Conclusions on Editing and Searching Final Conclusions — A Critique of ML/I	37 37 38 39 39 41 41 43 44 45 45
7	6.1 6.2 6.3 6.4 6.5 Conc 7.1 7.2 7.3 7.4 7.5 art II -	Multi-Pass Macro Processors Pre-Compiling of Macro-Time Statements List Processing Techniques Further Considerations of Speed Further Storage Considerations Clusions Conclusions on Language Extension Conclusions on Language Translation Conclusions on Text Generation Conclusions on Editing and Searching Final Conclusions — A Critique of ML/I ritique of ML/I	37 37 38 39 39 41 41 43 44 45 45 45
7 Pa	6.1 6.2 6.3 6.4 6.5 Conc 7.1 7.2 7.3 7.4 7.5 Mrt II -	Multi-Pass Macro Processors Pre-Compiling of Macro-Time Statements List Processing Techniques Further Considerations of Speed Further Storage Considerations Clusions Conclusions on Language Extension Conclusions on Language Translation Conclusions on Text Generation Conclusions on Editing and Searching Final Conclusions — A Critique of ML/I Innovations in ML/I	37 38 39 39 41 41 43 44 45 45 46
7 Pa	6.1 6.2 6.3 6.4 6.5 Conc 7.1 7.2 7.3 7.4 7.5 Art II -	Multi-Pass Macro Processors Pre-Compiling of Macro-Time Statements List Processing Techniques Further Considerations of Speed Further Storage Considerations Clusions Conclusions on Language Extension Conclusions on Language Translation Conclusions on Text Generation Conclusions on Editing and Searching Final Conclusions — A Critique of ML/I Innovations in ML/I Defects of ML/I	37 38 39 39 41 41 43 44 45 45 46 47 47
7 Pa	6.1 6.2 6.3 6.4 6.5 Conc 7.1 7.2 7.3 7.4 7.5 Mrt II -	Multi-Pass Macro Processors Pre-Compiling of Macro-Time Statements List Processing Techniques Further Considerations of Speed Further Storage Considerations Clusions Conclusions on Language Extension Conclusions on Language Translation Conclusions on Text Generation Conclusions on Editing and Searching Final Conclusions — A Critique of ML/I Innovations in ML/I	37 38 39 39 41 41 43 44 45 45 46 47 47 48

Pa		— An Exercise in Machine Independence	
9		Use of Macro Processors in Implementing	_
	Mac	chine-Independent Software 5	52
	9.1	Introduction	52
	9.2	Discussion of Machine-Independence	52
	9.3	Simple Criteria for Machine-Independence	52
	9.4	The Problem	
	9.5	A Method of Solution	
	9.6	Advantages over Use of High-Level Languages	
	9.7	Advantages over Hand-Coding	
	9.8	Further Mappings	55
10	The	Language L	56
	10.1	Basic Details of L	56
	10.2	The Mapping of L	58
	10.3	Features of L That Specially Aid	
		Machine-Independence	59
	10.4	Constant-Defining Macros	59
	10.5	Statement Prefixes	60
	10.6	Example of a Statement Prefix	60
11	Con	nparison with Other DLIMPs	32
11	Con	-	
11		nparison with Other DLIMPs	62
11	11.1	The Design of a Descriptive Language	62 62
11	$11.1 \\ 11.2$	The Design of a Descriptive Language	62 62 62
11	11.1 11.2 11.3	The Design of a Descriptive Language Other DLIMPS	62 62 62 63
11	11.1 11.2 11.3 11.4	The Design of a Descriptive Language Other DLIMPS Implementing of SNOBOL Implementing of Meta-Assemblers DLIMPs Using WISP Comparison with Other Methods	62 62 62 63 63
11	11.1 11.2 11.3 11.4 11.5 11.6 11.7	The Design of a Descriptive Language Other DLIMPS Implementing of SNOBOL Implementing of Meta-Assemblers DLIMPS Using WISP Comparison with Other Methods Advantages of using ML/I for a DLIMP	62 62 63 63 64 64
11	11.1 11.2 11.3 11.4 11.5 11.6	The Design of a Descriptive Language Other DLIMPS Implementing of SNOBOL Implementing of Meta-Assemblers DLIMPs Using WISP Comparison with Other Methods Advantages of using ML/I for a DLIMP Flexibility	62 62 63 63 64 64 65
11	11.1 11.2 11.3 11.4 11.5 11.6 11.7	The Design of a Descriptive Language Other DLIMPS Implementing of SNOBOL Implementing of Meta-Assemblers DLIMPS Using WISP Comparison with Other Methods Advantages of using ML/I for a DLIMP	62 62 63 63 64 64 65
11	11.1 11.2 11.3 11.4 11.5 11.6 11.7 11.8 11.9	The Design of a Descriptive Language Other DLIMPS Implementing of SNOBOL Implementing of Meta-Assemblers DLIMPs Using WISP Comparison with Other Methods Advantages of using ML/I for a DLIMP Flexibility	62 62 63 63 64 64 65 65
	11.1 11.2 11.3 11.4 11.5 11.6 11.7 11.8 11.9	The Design of a Descriptive Language Other DLIMPS Implementing of SNOBOL Implementing of Meta-Assemblers DLIMPs Using WISP Comparison with Other Methods Advantages of using ML/I for a DLIMP Flexibility Power ults of Transfers.	62 62 63 63 64 64 65 65
	11.1 11.2 11.3 11.4 11.5 11.6 11.7 11.8 11.9	The Design of a Descriptive Language Other DLIMPS Implementing of SNOBOL Implementing of Meta-Assemblers DLIMPs Using WISP Comparison with Other Methods Advantages of using ML/I for a DLIMP Flexibility Power ults of Transfers Inefficiency	62 62 63 63 64 64 65 65
	11.1 11.2 11.3 11.4 11.5 11.6 11.7 11.8 11.9 Res 12.1	The Design of a Descriptive Language Other DLIMPS Implementing of SNOBOL Implementing of Meta-Assemblers DLIMPs Using WISP Comparison with Other Methods Advantages of using ML/I for a DLIMP Flexibility Power ults of Transfers Inefficiency Time Taken	62 62 63 63 64 65 65 67
	11.1 11.2 11.3 11.4 11.5 11.6 11.7 11.8 11.9 Res 12.1 12.2	The Design of a Descriptive Language Other DLIMPS Implementing of SNOBOL Implementing of Meta-Assemblers DLIMPs Using WISP Comparison with Other Methods Advantages of using ML/I for a DLIMP Flexibility Power ults of Transfers Inefficiency Time Taken Representation of Data Types	62 62 63 63 64 65 65 67 67 67
	11.1 11.2 11.3 11.4 11.5 11.6 11.7 11.8 11.9 Res 12.1 12.2 12.3	The Design of a Descriptive Language Other DLIMPS Implementing of SNOBOL Implementing of Meta-Assemblers DLIMPs Using WISP Comparison with Other Methods Advantages of using ML/I for a DLIMP Flexibility Power ults of Transfers Inefficiency Time Taken	62 62 63 63 64 64 65 65 67 67 68 68
	11.1 11.2 11.3 11.4 11.5 11.6 11.7 11.8 11.9 Res 12.1 12.2 12.3 12.4	The Design of a Descriptive Language Other DLIMPS Implementing of SNOBOL Implementing of Meta-Assemblers DLIMPs Using WISP Comparison with Other Methods Advantages of using ML/I for a DLIMP Flexibility Power ults of Transfers Inefficiency Time Taken Representation of Data Types Acknowledgements The PDP-7 Assembly Language L-map	62 62 63 63 64 64 65 65 67 67 68 68
	11.1 11.2 11.3 11.4 11.5 11.6 11.7 11.8 11.9 Res 12.1 12.2 12.3 12.4 12.5	The Design of a Descriptive Language Other DLIMPS Implementing of SNOBOL Implementing of Meta-Assemblers DLIMPs Using WISP Comparison with Other Methods Advantages of using ML/I for a DLIMP Flexibility Power ults of Transfers Inefficiency Time Taken Representation of Data Types Acknowledgements The PDP-7 Assembly Language L-map	62 62 63 63 64 65 65 67 67 68 68 69 70
	11.1 11.2 11.3 11.4 11.5 11.6 11.7 11.8 11.9 Res 12.1 12.2 12.3 12.4 12.5 12.6	The Design of a Descriptive Language Other DLIMPS Implementing of SNOBOL Implementing of Meta-Assemblers DLIMPS Using WISP Comparison with Other Methods Advantages of using ML/I for a DLIMP Flexibility Power ults of Transfers Inefficiency Time Taken Representation of Data Types Acknowledgements The PDP-7 Assembly Language L-map The IIT L-map for Titan The PL/I L-map The PLAN L-map for ICT 1900	62 62 63 63 64 65 65 67 67 68 68 69 70 71 73
	11.1 11.2 11.3 11.4 11.5 11.6 11.7 11.8 11.9 Res 12.1 12.2 12.3 12.4 12.5 12.6 12.7 12.8 12.9	The Design of a Descriptive Language Other DLIMPS Implementing of SNOBOL Implementing of Meta-Assemblers DLIMPs Using WISP Comparison with Other Methods Advantages of using ML/I for a DLIMP Flexibility Power Ults of Transfers Inefficiency Time Taken Representation of Data Types Acknowledgements The PDP-7 Assembly Language L-map The IIT L-map for Titan The PL/I L-map The PLAN L-map for ICT 1900 The IBM System/360 Assembly Language L-map	62 62 63 63 64 65 65 67 67 68 68 69 70 71 73 75
	11.1 11.2 11.3 11.4 11.5 11.6 11.7 11.8 11.9 Res 12.1 12.2 12.3 12.4 12.5 12.6 12.7 12.8	The Design of a Descriptive Language Other DLIMPS Implementing of SNOBOL Implementing of Meta-Assemblers DLIMPs Using WISP Comparison with Other Methods Advantages of using ML/I for a DLIMP Flexibility Power ults of Transfers Inefficiency Time Taken Representation of Data Types Acknowledgements The PDP-7 Assembly Language L-map The IIT L-map for Titan The PL/I L-map The PLAN L-map for ICT 1900 The IBM System/360 Assembly Language L-map The IBM System/360 Assembly Language L-map The EASYCODER L-map for Honeywell 200	62 62 63 63 64 65 65 67 67 68 68 69 70 71 73 75

Bibliography and Appendices							
Bibliography							
	f References						
Appendix A	ML/I User's Manual 81						
Appendix B	L-Map Implementor's Manual 82						

Preface

Preface

This is the complete dissertation, apart from the two Appendices (see below). The following points should be noted:

- a. The whole dissertation has been converted to Texinfo format. This has necessitated changing the Appendices from "I and II" to "A and B".
- b. Part I was published in *Annual Review in Automatic Programming*, Volume 6, Pergamon Press, 1968.
- c. Each of the three Parts is self-contained and can be read independently of the other two. A knowledge of ML/I as summarised in the paper "The ML/I Macro Processor" [Comm. ACM 10, 10 (Oct. 1967), 618–623] is assumed in Part II. The above paper described the main principles of ML/I and may be looked upon as a precis of Appendix B.
- d. The contents of Part III are also summarised in a published paper, which is entitled "Using a macro processor to aid software implementation", [Computer J. 12, 4 (Nov. 1969) 327–331].
- e. There have been two different versions of the Bibliography. These have been combined, and numbered references in the text have been adjusted accordingly.
- f. The Appendices are separate documents. Both of them have been updated at least twice, and the originals are no longer easily available.

Overall Introduction

Overall Introduction

Summary

The main research for this dissertation has consisted of designing and implementing a macro processor, which I have called ML/I, and in using it to generate versions of itself for several computers. A description of ML/I has been published [Comm. ACM 10, 10 (Oct. 1967), 618–623].

This dissertation consists of three Parts and two large Appendices.

Part I is a survey of existing macro processors, including ML/I, with an evaluation of some of their uses, their achievements and their failings. The survey introduces the four main application areas for macro processors, which are considered to be language extension, language translation, text generation, and systematic editing and searching. It then considers the design factors that make macro processors fundamentally different from one another. These design factors are: relationship with base language, syntax, text evaluation, macro-time facilities and implementation methods. The bulk of the survey (Chapter 2, Chapter 3, Chapter 4, Chapter 5 and Chapter 6) is devoted to considering these design factors in turn. The last Chapter, Chapter 7, reviews the four application areas in the light of what has been said in the preceding Chapters.

Part II is a short critique of ML/I. It is a general discussion rather than a very detailed one. A complete description of ML/I is given as Appendix A.

Part III introduces the idea of a *DLIMP*. This is a means whereby machine-independent software can be implemented by describing it in a special-purpose language and then using a macro processor to map this language into any desired object language, in particular into the assembly language of any desired machine. The implementation of ML/I itself by means of a DLIMP is described and results for several implementations are presented. Full details of how to implement ML/I by this method are given in Appendix B. The object of Part III is to show that a DLIMP can be a very good method of software implementation and that ML/I is an especially suitable vehicle for performing a DLIMP.

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I would like to thank Professor M.V. Wilkes, who was my supervisor during the first and third years of my research, and Professor D.W. Barron who was my supervisor during the second year. I would like to thank John Nicholls and other members of the staff of IBM (UK) Laboratories for useful discussions and for arranging the typing of the draft of Part I. I would also like to thank the people who helped with the various implementations of ML/I. Full acknowledgements to these people are given in Chapter 12. Finally I would like to thank the Science Research Council for the financial support of my work.

Originality

No survey of macro processors nor any analysis of the basic principles in the design of macro processors has ever been published before. Part I of this dissertation is intended to fill this

Overall Introduction 3

gap. Chapter 3 and Chapter 4, in particular, contain new insights into the design of macro processors.

 $\mathrm{ML/I}$, like any new piece of software, contains many facilities that have been in use before. However, its most central characteristic is original. The degree to which $\mathrm{ML/I}$ is original and the extent to which it has taken ideas from other macro processors is discussed at more length in Chapter 8.

The idea of a DLIMP as presented in Part III is not new, though no analysis of its virtues as a general method for software implementation has been published previously. The implementing of ML/I by means of a DLIMP is new in the following ways:

- a. It is a much more elaborate operation than the only previously published account of a DLIMP.
- b. New techniques such as statement prefixes and constant-defining macros have been introduced.
- c. The same descriptive language has been mapped into both a high-level language and an assembly language.
- d. Detailed results of a DLIMP have never been published before but the results quoted in this dissertation are thought to set a high standard of efficiency.

I hereby declare that this dissertation is not substantially the same as any that I have submitted for a degree or diploma or other qualification at any other University. I further state that no part of my dissertation has already or is being currently submitted for any such degree, diploma or other qualification.

University Mathematical Laboratory Cambridge, England. March 1968.